

GEOTECHNICAL & ENVIRONMENTAL SERVICES

GEOTECHNICAL INVESTIGATION



Prepared For: Mrs S Fenton Address: 762 – 764 Forest Road, Peakhurst, NSW, 2210 Job No: AG-614_1 Date: 17-12-20

Australian Geotechnical Pty Ltd

ABN 27611088192

Email: info@austgeo.com.au



TABLE OF CONTENTS

1	INTRODUCTION				
2	SITE	DETAILS	3		
	2.1	GEOLOGY AND SOIL LANDSCAPES	4		
	2.2	SITE DESCRIPTION	5		
3	GEO	TECHNICAL INVESTIGATION	6		
	3.1	DCP TEST RESULTS	6		
	3.2	SOIL PROFILES	7		
4	RECO	DMMENDATIONS - EXCAVATIONS AND SUPPORT	7		
	4.1	BATTER SLOPES	7		
	4.2	EXCAVATION SUPPORT SYSTEM	9		
	4.3	EXCAVATION SUPPORT DESIGN PARAMETERS	10		
	4.4	EXCAVATION CONDITIONS	13		
	4.5	GROUNDWATER CONTROL	15		
5	RECO	DMMENDATIONS - FOOTINGS	15		
	5.1	SITE CLASSIFICATION	15		
	5.2	FOOTING DESIGN	15		
	5.3	FILLING	17		
	5.4	SUBGRADE PREPARATION	17		
6	CON	DITIONS OF THE RECOMMENDATIONS	18		
7	RECO	OMMENDATIONS FOR FURTHER GEOTECHNICAL INVESTIGATION	18		
8	LIMI	TATIONS	19		
9	REFE	RENCES	20		

TABLES

TABLE 1: SUMMARY OF SITE DETAILS	3
TABLE 2: TESTING RESULT SUMMARY	6
TABLE 3: SUBSURFACE SOIL PROFILE	7
TABLE 4: MAXIMUM EXCAVATION BATTER SLOPES	8
TABLE 5: SOIL PARAMETERS FOR RETAINING WALL DESIGN	12
TABLE 6: OPERATING RESTRICTIONS AND VIBRATION LIMITS FOR EXCAVATION EQUIPMENT	.14
TABLE 7: ALLOWABLE BEARING PRESSURES FOR FOOTING DESIGN	.16
APPENDICES	

APPENDIX A SITE FIGURES APPENDIX B IMPORTANT INFORMATION



1.0 INTRODUCTION

At the request of Mrs S Fenton (client), Australian Geotechnical Pty Ltd (AG) prepared this Geotechnical Report in relation to a proposed residential dwelling at 762 – 764 Forest Road, Peakhurst, NSW, 2210 (the site). This geotechnical investigation report is prepared for Development Application (DA) submission and also provide geotechnical design parameters and recommendations regarding the proposed development.

This Geotechnical Investigation Report is intended to provide assessment regarding site description, geology, existing ground conditions, geotechnical design input parameters, construction management of temporary excavations, earthworks and site drainage. In view of the above, the purposes of this report are to provide:

- Geotechnical subsurface conditions and groundwater (if applicable).
- Site Classification in accordance with AS 2870 "Residential Slabs and Footings".
- Geotechnical comments, recommendations and design input parameters for the detailed engineering design, construction approach, construction review and implementation of the risk management actions.

In order to achieve the project objectives, the following scope of work was carried out for the geotechnical investigation:

- Review of the geological map;
- Obtain Dial Before You Dig (DBYD) plans;
- Drilling of one (1) borehole at the site to bedrock refusal;
- Carry out four (4) Dynamic Cone Penetrometer Tests (DCP) to evaluate shallow allowable bearing pressures (ABP);
- Prepare a geotechnical investigation report summarising the findings of the geotechnical investigation and provide recommendations for the proposed development.

To assist in the preparation of this Geotechnical Report, AG was supplied with the following documents relating to the proposed site development:

• Set of Architectural Drawings prepared by Innovate Architects Pty Ltd, job number 2699 dated October 2020.

These documents were used to illustrate the proposed development site layout, inferred geological conditions and geotechnical issues.



2.0 SITE DETAILS

The following information, presented in Table 1, describes the site.

Site Address	762 – 764 Forest Road, Peakhurst, NSW, 2210				
Client	Mrs S Fenton				
Council Area	Georges River Council				

Table 1: Summary of Site Details

Based on the provided drawings, it is understood that the development will comprise demolition of the north-west portion of the existing structure to allow for construction of a two storey hostel development with basement carparking. Proposed excavation depths of approximately 3.0m from existing ground levels are planned to allow construction of the basement carparking area to RL 55.0m, with locally deeper excavations anticipated for footings, service trenches and pool. Based on the drawings provided, this office understands the following is planned for the site:

- A two storey hostel development covers the northern and eastern portions of site.
- Access to the basement car carparking will be via a new ramp at the north eastern corner of the site
- Associated drainage, excavations, retaining walls, gym, pool services and landscaping.

The proposed basement car parking area will be constructed in close proximity to existing dwelling, 3m from the northern boundary, 3m from the south-western boundary and 1.68m from the eastern boundary.



2.1 Geology and Soil Landscapes



Figure 1 - Regional Geology

The 1:100,000 scale Geological Series Map of the Sydney region indicates that the subject site is underlain by the Ashfield Shale (Rwa) of the Wianamatta Group, described as *'black to dark grey shale and laminate.*

The Soil Landscape Series Sheet 9130gn and 9130bt, Scale 1:100,000, 2002, prepared by the Soil Conservation Service of NSW, indicates that the site is located at the border of the Blacktown and Glenorie landscape which generally comprises of 'Wianamatta Group Ashfield Shale and Bringelly Shale formations. The Ashfield Shale is comprised of laminite and dark grey shale. Bringelly Shale consists of shale, calcareous claystone, laminite, fine to medium grained lithic-quartz sandstone (Herbert, 1983)'



2.2 Site Description

Both sites combined are approximately L in shape with an estimated area of 2,160m² based on the street-directory website.



Figure 2 - Site Location

As indicated in Figure 2, the site is bounded by:

- Prospect Road to the north-west,
- Forest Road to the south-east,
- Low density residential dwellings to the north-east and south-west,
- Topographically, the site is situated on a relatively flat land parcel, with an average sloping angle of 3% ascending from the southern portion of site to northern edge of the proposed development.



3.0 GEOTECHNICAL INVESTIGATION

The fieldwork was undertaken on 15th December 2020 under the full-time supervision of a Geotechnical Engineer from AG, and included subsurface investigations at 4 locations, using a 4wd mounted 100mm solid flight auger drilling rig and hand equipment. Buried metallic services and utilities within the site boundaries near the proposed test locations were identified by referring to DBYD utility maps. Borehole numbered 1 was drilled to refusal in the weathered shale. Four (4) DCP (Dynamic Cone Penetrometer) tests were across the site to aid in the assessment of in-situ soil conditions. The locations of the boreholes and DCPs are shown in the attached drawing.

3.1 DCP Test Results

The DCP test results provided additional information for an assessment of ABP of underlying soils. The results are presented in Table 2:

		0			
Test Number:	DCP-1	DCP-2	DCP-3	DCP-4	
Material Description:	Silty Clay, brown, with roots				
Test Method:		AS128	39.6.3.2		
Testing Start Depth:		Surfac	ce Level		
Test Location:	BH01	DCP-2	DCP-3	DCP-4	
Depth Tested (m):		Blows Pe	er/100mm		
0-0.1	2	2	3	3	
0.1 - 0.2	6	4	5	5	
0.2 - 0.3	6	4	6	5	
0.3 - 0.4	5	4	7	5	
0.4 - 0.5	5	5	6	6	
0.5 – 0.6	4	3	6	5	
0.6 – 0.7	3	4	7	5	
0.7 – 0.8	3	4	8	5	
0.8 - 0.9	2	5	refusal	refusal	
0.9 - 1.0	1	5			
1.0 - 1.1	1	refusal			
1.1 – 1.2	2				
1.2 - 1.3	refusal				

Table 2: DCP Testing Result Summary



3.2 Soil Profiles

The subsurface conditions observed on site are summarised in Table 3. For a detailed description, refer to attached explanatory notes.

Borehole	Approximate Elevation (RL m)	Borehole depth (m)	Fill ^{2,4} (m)	Reisdual ^{1,5} (m)	Bedrock ^{3,6} (m)
BH01	58.4	1.7	0 – 0.3	0.3-1.3	At 1.3
DCP2	58.4	-	-	-	At 1.1
DCP3	57.9	-	-	-	At 0.9
DCP4	57.8	-	-	-	At 0.9

Table	3 -	Subsurface	Soil	Profile
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¹ Estimated soil consistency/strength is based on DCP test results at the testing locations. The potential for weak or softer layers throughout the unit should be considered.

² Assumed fill thickness based on DCP blow counts and observations made during the geotechnical investigation. Thickness of the fill layer is expected to vary from those indicated in Table 3.

³ Inferred bedrock composition, continuity, strength and depth should be confirmed by a geotechnical engineering either prior to construction by additional boreholes and testing, or during construction by inspection.

⁴ Soil Horizon Unit 1 - TOPSOIL/FILL: Silty Sand, fine to coarse sand, trace fine gravel, grass roots.

⁵ Soil Horizon Unit 2 - RESIDUAL: Silty Clay, medium to high plasticity, brown and grey red mottled, fine to medium iron gravels.

⁶ Soil Horizon Unit 3 - SHALE, grey to brown, extremely weathered, extremely low estimated strength.

Notes:

• Clay seams, defects, and fractured and extremely weathered zones are expected to be present throughout the underlying inferred bedrock, predominately at depths and locations unobserved during the geotechnical investigation.

• Ground conditions are expected to vary across the site, and should be confirmed by a geotechnical engineer, predominately in areas unobserved during the geotechnical investigation.

No groundwater was encountered at the time of our visit; however, some seepage flows are likely from the weathered rock/soil interface following periods of rainfall. Therefore, appropriate drainage systems and free draining backfill should be provided to prevent the build-up of hydrostatic pressures behind all retaining walls.

4.0 RECOMMENDATIONS – EXCAVATIONS

4.1 Batter Slopes

It is anticipated that the proposed basement floor level will be constructed in close proximity to the northern side of the existing dwelling house at 764 Forest Road and the south eastern boundary of no 19 Prospect Road. Excavation will extend to within 1m and 1.68m respectively, therefore will reside within the zone of influence. Temporary or permanent batters may be considered for certain areas of the proposed excavation where sufficient space exists between the proposed excavation walls and adjoining infrastructures. It should be noted that due to the nature of natural soils and weathered bedrock underlying the site, and the potential for elevated groundwater levels within the excavation area, unsupported vertical cuts of the soils carry the potential for slump failure.



Temporary or permanent batter slopes may be considered where sufficient space exists between the excavation walls and adjoining infrastructures, and where the adjacent infrastructures are located outside the "zone of influence" (obtained by drawing a line 45° above horizontal from the base of the proposed basement walls) for the use temporary batter slopes. Table 4 provides maximum recommended slopes for permanent and temporary batters.

Maximum inferred excavation depths are expected to vary within the site from approximately 3.0 (varying throughout) for construction of the proposed development, with locally deeper excavations also anticipated to be required for the proposed building footings and service trenches.

Based on the ground conditions within the site, the total depth of excavation and the extent of the excavation walls to the site boundaries and adjoining infrastructures, it is critical from geotechnical perspective to maintain the stability of the adjacent structures and infrastructures during demolition, excavation and construction. The recommended permanent and temporary (i.e. up to 28 days) batter slopes are presented in Table 4:

	Maximum Batter Slope (H : V)			
Soil or Rock Material Type	Permanent	Temporary (exposed for up to 28 days)		
Silty Clay (Fill, Colluvium or Residual Soil)	N/A – retaining wall	3:1		
Shale Bedrock (extremely low to low strength)	2:1*	1:1*		
Shale Bedrock (medium strength)	1:1*	0.5 : 1*		
Shale Bedrock (high strength, or better)	Semi-Vertical	– Vertical*		
* Subject to inspection by a Geotechnical Engineer a	nical Engineer and carrying out stabilisation works if recommended			

Table 4 - Maximum Excavation Batter Slopes

* Subject to inspection by a Geotechnical Engineer and carrying out stabilisation works if recommended (shotcrete, rock bolting, etc.). It may be possible to achieve vertical permanent rock excavations (e.g. for the 'feature excavation'), subject to geotechnical review and implementation of stabilisation works as required to manage the geotechnical risks. If the temporary batter slopes cannot be achieved, then appropriate temporary shoring / excavation support must be provided.

All batter slopes within the site should remain stable providing all surcharge and construction loads are kept out of the "zone of influence" (obtained by drawing a line 45° above horizontal from the base of the proposed excavation walls) plus an additional 1.0m. A geotechnical engineer or engineering geologist should inspect the batter slopes within the site.

It should be noted that steeper batter slopes may be considered for higher strength (i.e. medium estimated strength, or better) and intact bedrock which may underlie the site, subject to confirmation by a geotechnical engineer during construction by inspection, or by additional borehole drilling and rock strength testing. Consideration should be given to shotcreting and soil nailing where steeper batter slopes are to be used.



Temporary surface protection against erosion should be provided by covering the batter slopes with plastic sheets extending at least 1.5m behind the crest of the cut face or up to the common site boundaries. The sheets should be positioned and fastened to prevent any water infiltration onto or into the batter slopes. Other applicable methods may be adopted for temporary surface protection, and all surface protection should be placed following inspection of the temporary batters by a geotechnical engineer.

An appropriately designed retaining wall by a suitably qualified structural engineer should be implemented and constructed around the proposed excavation perimeter walls following any temporary or permanent batter slopes within the site. All retaining walls should be sufficiently constructed on appropriate bedrock underlying the site, and should take into consideration the lateral earth pressures induced by soil movement along the interface between soils and the underlying bedrock.

4.2 Excavation Support System

Where there is insufficient space proposed excavation walls and adjoining infrastructures, or where adjacent infrastructures are located within the "zone of influence" (as outlined in Section 4.1 above), consideration should be given to a suitable retention system such as a soldier pile wall solution sufficiently embedded into appropriate and competent bedrock underlying the site, with concrete infill panels for the support of the excavation and soils.

Closer spaced piles may be required to reduce lateral movements particularly where adjacent infrastructures, such as buildings or pavements which are located near the excavation, and to prevent collapse of loose/soft fill in-situ materials, natural soils and weathered bedrock. Pile spacing should be analysed and designed by the project structural engineer and should consider horizontal pressures due to surcharge loads from adjacent infrastructures (i.e. buildings, road reserves, etc.), and long term loadings.

Battering back of the soils may be required to permit installation of soldier piles and prevent the collapse of soils into the excavation area. This should be monitored by a geotechnical engineer familiar with these site conditions.

The use of a more rigid retention system such as a cast in-situ contiguous pile wall solution should also be considered to reduce the lateral movements and risk of potential damage to adjacent infrastructures (i.e. adjacent road reserves and infrastructures). This option may also be adopted where excessive surcharges are adjacent to the excavation, and to meet acceptable deflection criteria. It should be noted that groundwater inflow may pass through shoring pile gaps during excavation. This may be controlled by the installation of strip drains behind the retention system, connected to the buildings stormwater system. Shotcreting or localised grouting may also be used in weak areas of the retention system, predominately where groundwater seepage and loose/soft soils are visible. Shoring design should take into consideration both short term (during construction) and permanent conditions, along with surcharge loading and footing loads from adjacent infrastructures.

Where groundwater is deemed to be relatively high, and permeability rates are excessive, it is recommended that consideration be given to a contiguous pile wall with strip drains installed behind the piles and shotcreting in weak areas susceptible to groundwater inflow. This should be confirmed by measures discussed in Section 7.0 of this report.



In cases where anchoring is impractical, other temporary support for the adopted shoring system should be considered. This may include the staged excavation and installation of temporary berms or props in front of the retaining wall.

If considered, the shoring wall can be designed using the recommended design parameters provided in Section 4.3. Bulk excavation and foundations (including pile installations) should be supervised, monitored and inspected by a geotechnical engineer, with all structural elements of the development by a structural engineer. Inspections should be considered as "Hold Points" to the project.

4.3 Excavation Support Design Parameters

Excavation pressures acting on the support will depend on a number of factors including external forces from surcharge loading, the stiffness of the support, varying groundwater levels within the site, and the construction sequence of the proposed basement. Therefore, the following parameters may be used for the design of temporary and permanent retaining walls at the subject site:

- A triangular earth pressure distribution may be adopted for derivation of active pressures where a simple support system (i.e. cantilevered wall or propped/anchored wall with only one row of props/anchors are required) is adopted. Cantilevered walls are typically less than 2.5m in height, and should take ensure deflections remain within tolerable limits.
 - Flexible retaining structures (i.e. cantilevered walls or walls with only one row of anchors), should be based on active lateral earth pressure. "At rest" earth pressure coefficient should be considered to limit the horizontal deformation of the retaining structure. Lateral active (or at rest) and passive earth pressures for cantilever walls or walls with only one row of anchors may be determined as follows:

Lateral active or "at rest" earth pressure:

 $P_a = K \gamma H - 2c\sqrt{K}$

Passive earth pressure:

 $P_p = K_p \gamma H + 2c\sqrt{K_p}$

 Where lateral deflection exceeds tolerable limits, or where two or more rows of anchors are required, the retention/shoring system should be designed as a braced structure. This more complex support system should utilise advanced numerical analysis tools such as WALLAP or PLAXIS which can ensure deflections in the walls remain within tolerable limits and to model the sequence of anchor installation and excavation. For braced retaining walls, a uniform lateral earth pressure should be adopted as follows:



Active earth pressure:

 $P_a = 0.65 \, K \, \gamma \, H$

Where:

- P_a = Active (or at rest) Earth Pressure (kN/m²)
- P_p = Passive Earth Pressure (kN/m²)
- γ = Bulk density (kN/m³)
- $K = Coefficient of Earth Pressure (K_a or K_o)$
- K_p = Coefficient of Passive Earth Pressure
- H = Retained height (m)
- c = Effective Cohesion (kN/m²)
 - Support systems and retaining structures 'should be designed to withstand hydrostatic pressures, lateral earth pressures and earthquake pressures (if applicable). The applied surcharge loads in their "zone of influence" should also be considered as part of the design, where the "zone of influence" may be obtained by drawing a line 45° above horizontal from the base of the proposed retailing wall.

Support system designed using the earth pressure approach may be based on the parameters given in Table 5 below for soils and rock horizons underlying the site. Table 5 also provides preliminary coefficients of lateral earth pressure for the soils and rock horizons encountered in the site. These are based on fully drained conditions and that the ground behind the retention walls is horizontal.



Table 5 – Soil Parameters for	Retaining Wall Design
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Material	Fill	Residual Soils	Bedrock (Unit 3) ^{3, 5}		
Wateria	(Unit 1) (Unit 2)	Unit 3 EL – VL⁵	Unit 4 L – M⁵	Unit 5 M – H ^{5,6}	
Unit Weight					
(kN/m3)⁴	17	19	22	22	24
Effective Cohesion c' (kPa)	0	5	25	50	75
Angle of Friction					
φ′ (°)	26	24	27	28	30
Earth Pressure Coefficient At Rest Ko ¹	0.56	0.59	0.5	0.5	0.4
Earth Pressure Coefficient Active Ka ²	0.39	0.42	0.3	0.3	0.25
Earth Pressure Coefficient Passive Kp ²	2.56	2.37	3.0	3.0	5.0

¹Earth pressure coefficient at rest (Ko) can be calculated using Jacky's equation.

²Earth pressure coefficient of active (Ka) and passive (Kp) can be calculated using Rankine's or Coulomb's equation. ³The values for rock assume no defects of adverse dipping is present in the underlying bedrock. All excavation rock faces should be inspected on a regular basis by an experienced engineering geologist or geotechnical engineer. ⁴Above groundwater levels.

⁵Confirmation of the underlying bedrock composition, continuity, strength and depth should be confirmed by additional borehole drilling and rock strength testing, or during construction by a geotechnical engineer. ⁶Preliminary only, and inferred to be present within the site at depth. Inferred estimated bedrock strength is based on observations made during auger penetration resistance at the time of drilling. <u>Notes:</u>

- For undrained (temporary) clay soils, higher earth pressures (K=1) will apply.
- EL = Extremely Low estimated strength, VL = Very Low estimated strength, L = Low estimated strength, M = Medium estimated strength, H = High estimated strength.

These geotechnical design parameters set out in Table 5 can be verified and/or adjusted by obtaining soil samples from the site for testing at a NATA-registered soils laboratory.

In addition, design of retaining walls should consider the following:

- If piled retaining walls are to provide permanent support to proposed structures, pile sockets in rock may need to be longer to accommodate additional lateral and axial loads. Anchoring may be required for additional lateral support.
- The retaining wall design should also allow for any surcharge loads from adjoining structures, relevant site features and construction loads, these loads should be calculated separately.



- To facilitate the site earthworks, it would be prudent to install a temporary catch drain above the proposed excavation to divert surface run-off away from the building area during construction.
- Static water pressures should be taken into consideration, unless adequate vertical strip drainage is provided behind retaining walls. A hydrostatic pressure distribution could be used for this analysis.
- Appropriate surcharge loading from construction equipment, vehicular traffic and neighbouring structures at finished surface level should be taken into account in the retention design. Surcharge loads on retention structures may be calculated using a rectangular stress block with an earth pressure coefficient of 0.5 applied to surcharge loads at ground surface level.

4.4 Excavation Conditions

Maximum excavation depths of approximately 3.0m (varying throughout) are expected for construction of the proposed development, with locally deeper excavations also anticipated to be required for the proposed building footings and service trenches within the site.

Based on this information and existing ground conditions as encountered during the geotechnical investigation, it is anticipated that excavation will extend through Unit 1 (fill) to Unit 3 (bedrock) inclusive, during excavation of the basement carpark area, as outlined in Table 2 and Table 3 above.

The possibility for encountering higher strength bedrock (i.e. medium estimated strength, or better) should not be precluded during excavation/construction, predominately where deeper excavations are required across the site, and in areas and at depths not observed during the geotechnical investigation, due to the limited investigation carried out within the site.

Particular care will be required to ensure that adjacent buildings and infrastructures (i.e. road reserves, buildings, etc.) are not damaged during demolition, excavation and construction activities (or the like) due to excessive vibrations. Therefore, appropriate excavation and construction methods should be adopted which will limit ground vibrations to limits not exceeding the following maximum Peak Particle Velocity (PPV) for adjacent structures:

- Sensitive and/or historical structures 2mm/sec
- Residential and/or low rise structures 5mm/sec
- Unreinforced and/or brick structures 10mm/sec
- Reinforced and/or steel structures 25mm/sec
- Commercial and/or industrial buildings 25mm/sec

Vibrations transmitted by the use of rock hammers are unacceptable and not recommended. To minimise vibration transmission to any adjoining infrastructures, and to ensure vibration limits remain within acceptable limits, rock saw cutting using a conventional excavator with a mounted rock saw (or similar) should be carried out as part of excavation prior to any rock breaking commencing. Although rock hammering is unacceptable and not recommended, if necessary during excavation, it is recommended that hammering be carried out horizontally along pre-cut rock boulders or blocks provided by rock saw cutting, and should remain within limits acceptable. This should be monitored at all times during excavation. The effectiveness



of all the above-mentioned approaches must be confirmed by the results of vibration monitoring. The limits of 5mm/sec and 10mm/sec are expected to be achievable if rock breaker equipment or other excavations are restricted to the values indicated in Table 6 below.

Distance from Adjacent Structure	Maximum Peak Particle Velocity 5 mm/s			
(m)	Equipment	Operating Limit (% of Maximum Capacity)		
1.0 to 2.0	hand-operated tools or jack-hammer only	100		
2.0 to 5.0	300 kg Rock Hammer	50		
5.0 to 10.0	300 kg Rock Hammer or	100		
5.0 t0 10.0	600 kg Rock Hammer	50		

Table 6 – Operating Restrictions and Vibration Limits for Excavation Equipment

Excavation through Unit 1 to Unit 3 inclusive (softer soils and inferred extremely low to low estimated strength bedrock) should be feasible using conventional earth moving excavators, typically medium to large hydraulic excavators. Smaller sized excavators may encounter difficulty in high strength bands of soils and rocks which may be encountered. Where high strengths bands are encountered, rock breaking or ripping should be allowed for. Removal of the existing pavements and associated infrastructures within the site are also expected to require larger excavators and rock breaking and ripping.

Excavation of medium to higher estimated strength bedrock, which is anticipated to be encountered across the site at depth, would require higher capacity excavators, bulldozers or similar, for effective removal of the rock. This excavation will require the use of heavy ripping and rock breaking equipment or vibratory rock breaking equipment. Furthermore, excavation for the proposed building footings and service trenches may require the use of heavy ripping and rock breaking equipment or vibratory rock breaking equipment, with the possibility of rock saw cutting.

Should rock hammering be used for the excavation in the underlying bedrock, excavation should be carried out away from the adjoining structures, with vibrations transmitted being monitored to maintain vibrations within acceptable limits. Rock saw cutting should be carried out (where required) around the perimeter of the excavation, prior to any rock breaking commencing.

Demolition, excavation and construction activities (or the like) will generate both vibration and noise, predominately whilst being carried out within the underlying bedrock. Vibration control measures should be implemented as part of the excavation process.

A vibration monitoring plan is recommended to be developed to monitor construction activities, and their effects on adjoining infrastructures. A vibration monitoring plan may be carried out attended or unattended. An unattended vibration monitoring must be fitted with alarms in the form of strobe lights, sirens or live alerts sent to the vibration monitoring supervisor, which are activated when the vibration limit is exceeded.



A geotechnical engineer should be contacted immediately if vibrations during construction or in adjacent structures exceed the values outlined above, and work should immediately cease. It is recommended a dilapidation report be carried out prior to any excavation or construction. This should be considered a "Hold Point".

4.5 Groundwater Control

No groundwater was observed or encountered during augering in borehole numbered BH1, to a maximum depth of approximately 1.7m bgl (RL56.7m AHD).

Following completion of augering in borehole BH1, the borehole was left open to assess groundwater. Groundwater measurements carried out on the 15th December 2020 indicates that BH1 is free of any groundwater at the time of the measurement.

Groundwater which may enter the excavation is expected to be in the form of seepage through the pore spaces between particles of unconsolidated natural soils or through networks of fractures and solution openings in consolidated bedrock. It should be noted that groundwater levels are subject to fluctuate during daily or seasonal factors. Additional groundwater testing and inspections should be carried out prior to construction and design phase of the project, to assess any groundwater inflows throughout the excavation area.

5.0 **RECOMMENDATIONS - FOOTINGS**

5.1 Site Classification

Footings founded into soil horizon unit 2 will be classified as **Class "H1"**. Based on the geology, natural soil profile as encountered on this limited scope investigation, the site is estimated to have a Characteristic Surface Movement (ys) in the range between **20mm** and **40mm**. Footings and slabs on Bedrock Unit 3 (weathered Sandstone) material may be designed in accordance with AS2870:2011 based on a Site Classification of **Class "A"**.

5.2 Footing Design

Following excavation to the proposed basement FFL of RL55.0m AHD, and based on the boreholes carried out, we expect varying ground conditions comprising predominately Unit 3 (inferred Class V Shale), with the potential for Unit 4 (Class V Shale) and Unit 5 (Class III Shale or better) in some areas of the site to be exposed at bulk level excavation.

Based on the proposed development, and assessment of the subsurface conditions, a suitable foundation system comprising a cast in-situ reinforced concrete raft slab is likely to be adopted. The raft foundation should include slab thickening to provide strip and pad footings for the support of the internal walls and columns, respectively.

It should be noted that due to the potential variable bedrock conditions throughout the site (i.e. Class V Shale and inferred Class V bedrock, with the potential for Class III Shale or better in certain areas at bulk level excavation), a conservative allowable bearing pressure of 700kPa should be adopted for the inferred Class IV Shale at bulk level excavation as outlined in Table 7 below. Higher bearing capacities may be justified subject to confirmation by inspection during construction, or by additional borehole drilling and rock strength testing. Bearing capacity and settlement behaviour varies according to foundation depth, shape and dimensions.



Given the potential for variable ground conditions within the site, it is recommended that all foundations are constructed on consistent bedrock throughout the basement FFL to provide uniform support and reduce the potential for differential settlements. This could be attained by strip or pad footings where the suitable bearing capacity is achieved or exposed at bulk level, and pile foundations elsewhere. Reference should be made to the estimated levels of the subsurface conditions outlined in this report, and compared to the final bulk excavation levels across the site.

Installation of piles may be required where the axial and working loads transmitted through the building walls and columns exceed the bearing pressure of the bedrock exposed at basement FFL. These should be socketed into consistent and appropriate bedrock underlying the site. For cases where resistance against wind loads and lateral loads need to be increased, piles may also be required.

Allowable Bearing Pressures (ABP) for the preliminary structural design of footings are provided in Table 7:

Unit Type	/Material	Maximum Allowable (Serviceability) Values (kPa)			
		End Bearing Pressure ¹	Shaft Adhesion (Compression)	Shaft Adhesion (Tension)	
Fill					
(Unit 1)	N/A	N/A	N/A	N/A	
Residual Soils					
(Unit 2)	N/A	100	N/A	N/A	
Deducal	EL – VL	700	50	25	
Bedrock (Unit 3) ²	L ^{3, 4}	1,000	100	50	
(0111 3)	M ^{3, 4}	1,500	150	75	

¹Minimum embedment of 0.4m for shallow foundations and 0.5m for deep foundations.

²Confirmation of the underlying bedrock composition, continuity, strength and depth should be confirmed by additional borehole drilling and rock strength testing, or during construction by a geotechnical engineer.

³Preliminary only, and inferred to be present within the site at depth. Subject to confirmation by a geotechnical engineer during construction by inspection, or by additional borehole drilling and rock strength testing.

⁴At least Class IV Sandstone, or better. Subject to confirmation by a geotechnical engineer, as discussed in this report. Notes:

- EL = Extremely Low estimated strength, VL = Very Low estimated strength, L = Low estimated strength, M = Medium estimated strength.
- N/A = Not Applicable. Not recommended for the proposed development.
- The composition, depth, strength and continuity of the underlying bedrock material should be confirmed either prior to construction by further borehole drilling and rock strength testing, or during construction by inspection.
- It is recommended that geotechnical inspections on the foundations are completed by a geotechnical engineer to determine the material and confirm the required bearing capacity has been achieved.

Specific geotechnical advice should be obtained for footing deigns and end bearing capacities, and design of the foundation system (shallow and pile foundations) should be carried out in accordance with AS 2870-2011 and AS 2159-2009.



If footings are designed through soil assessed to be affected by soil creep, the footing design should allow for some lateral soil creep to occur over the building's design life (e.g. nominally 2 mm/year). However, because the majority of the footings are proposed within excavations expected to encounter shale, soil creep forces will most likely not need to be addressed in the footing design.

We recommend that geotechnical inspections of foundations be completed by an experienced geotechnical engineer to determine that the designed socket materials have been reached and the required bearing capacity has been achieved. The geotechnical engineer should also determine any variations between the boreholes carried out and inspected locations. Inspections should be carried out in dewatered foundations for a more accurate examination, and inspections should be carried out under satisfactory WHS requirements. Geotechnical inspections for verification capacities of the foundations should constitute as a "Hold Point".

5.3 Filling

Where filling is required, the following recommended compaction targets should be considered:

- Place horizontal loose layers not more than 300mm thickness over the prepared subgrade.
- Compact to a minimum dry density ratio not less than 98% of the maximum dry density for the building platforms.
- The moisture content during compaction should be maintained at ±2% of the Optimal Moisture
- Content (OMC).
- The upper 150mm of the subgrade should be compacted to a dry density ratio not less than 100% of the maximum dry density.

Any soils which are imported onto the site for the purpose of filling and compaction of the excavated areas should be free of deleterious materials and contamination. The imported soils should also include appropriate validation documentation in accordance with current regulatory authority requirements. The design and construction of earthworks should be carried out in accordance with AS 3798-2007. Inspections of the prepared subgrade should be carried out by a geotechnical engineer, and should include proof rolling as a minimum. These inspections should be established as "Hold Points".

5.4 Subgrade Preparation

The following are general recommendations on subgrade preparation for earthworks, slab on ground constructions and pavements:

- Remove existing fill and topsoil, including all materials which are unsuitable from the site.
- Excavate natural soils and rock.
- Excavated material may be used for engineered fill.
- Rock may be used for subgrade material underlying pavements.
- Any natural soils (predominately clayey soils) exposed at the bulk excavation level should be treated and have a moisture condition of 2% OMC. This should be followed by proof rolling and compaction of the upper 150mm layer.



- Any soft or loose areas should be removed and replaced with engineered or approved fill
- material.
- Any rock exposed at the bulk excavation level should be clear of any delirious materials (and free of loose or softened materials). As a guideline, remove an additional 150mm from the bulk excavation level.
- Ensure the foundations and excavated areas are free of water prior to concrete pouring.
- Areas which show visible heaving under compaction or proof rolling should be excavated at least 300mm and replaced with engineered or approved fill, and compacted to a minimum dry density ratio not less than 98% of the maximum dry density.

6.0 CONDITIONS OF THE RECOMMENDATIONS

- The advice given in this report assumes that the test results are representative of the
 overall subsurface conditions. However, it should be noted that actual conditions in
 some parts of the building site may differ from those found in the boreholes. If
 excavations reveal soil conditions significantly different from those shown in our
 attached Borehole Log(s), Australian Geotechnical must be consulted and excavations
 stopped immediately.
- The foundation depths quoted in this report are measured from the surface during our testing and may vary accordingly if any filling or excavation works are carried out. The description of the foundation material for has been provided for its easy recognition over the whole building site.
- Any sketches in this report should be considered as only an approximate pictorial evidence of our work. Therefore, unless otherwise stated, any dimensions or slope information should not be used for any building cost calculations and/or positioning of the building. Dimensions on logs are correct.

7.0 RECOMMENDATIONS FOR FURTHER GEOTECHNICAL INVESTIGATION

It is recommended that following demolition, additional machine drilled boreholes and rock strength testing be carried out across the site, in order to confirm the ground conditions and findings and recommendations presented in this report.

Further investigations are required prior to and during the construction phase of the project. These inspections should include but are not limited to the following;

- A dilapidation survey will be required for the adjoining dwellings, roadways and site features prior to and following the construction phase of the project. These surveys should be carried out by a qualified person.
- Geotechnical inspections of shoring wall piles installations.
- Geotechnical inspections of foundations (shallow and piles) to confirm the preliminary bearing capacities have been achieved.



- All spoil excavated and carted from the development site will require classification in accordance with the Waste Classification Guideline Part 1, prepared by NSW Environment Protection Authority (EPA).
- This type of investigation (as per our commission) is not designed or capable of locating all soil conditions, (which can vary even over short distances). Therefore, it is recommended that the builder or owner engage a suitably qualified person to confirm the soil profile and whether the design parameters outlined within this report are available at footing and foundation level.
- Unsupported excavations, batter slopes and saw cut faces must be inspected every metre of vertical excavation by a qualified person. This is required to assess the adequacy of design requirements outlined within this report, in order to provide additional direction (where required) with regards to the construction of batter slopes and saw cutting.
- Monitoring of any groundwater inflows into the excavation during construction.
- Our investigation could only be carried out in grassed areas. Much of the site was
 inaccessible with the machine drilling rig, therefore subsurface conditions were
 inferred using DCP testing equipment. Following demolition of the existing structure,
 additional boreholes logs should be conducted to determine whether design
 parameters outlined within this report are adequate.

8.0 LIMITATIONS

Australian Geotechnical Pty Ltd (AG) has based its geotechnical assessment on available information obtained prior and during the site inspection/investigation. The geotechnical assessment and recommendations provided in this report, along with the surface, subsurface and geotechnical conditions are limited to the inspection and test areas during the site inspection/investigation, and then only to the depths investigated at the time the work was carried out. Subsurface conditions can change abruptly, and may occur after AG's field testing has been completed.

It is recommended that if for any reason, the site surface, subsurface and geotechnical conditions (including groundwater conditions) encountered during the site inspection/investigation vary substantially during AG's construction, and from recommendations and conclusions, AG should be contacted immediately for further testing and advice. This may be carried out as necessary, and a review of recommendations and conclusions may be provided at additional fees. AG's advice and accuracy may be limited by undetected variations in ground conditions between sampling locations.

AG does not accept any liability for any varying site conditions which have not been observed, and were out of the inspection or test areas, or accessible during the time of the investigation. This report and any associated information and documentations have been prepared solely for Mrs S Fenton, and any misinterpretations or reliances by third parties of this report shall be at their own risk. Any legal or other liabilities resulting from the use of this report by other parties can not be religated to AG.



This report should be read in full, including all conclusions and recommendations. Consultation should be made to AG for any misundertandings or misinterpretations of this report.

9.0 REFERENCES

- Geological Series Map of the Sydney region, scale 1:100,000
- Pells, P.J., Douglas, D.J., Rodway, B, Thorne, C. And Mcmahon, B.K "Design Loadings for Foundations on Shale and Sandstone in the Sydney Region". Australian Geomechanics Journal, Vol.3 1978.
- Pells, P.J., Mostyn, G and Walker, B.F. "Foundations on Sandstone and Shale in the Sydney Region". Australian Geomechanics Journal, Vol. No. 33, Part 3, Dec 1998.
- AS1726:1993, Geotechnical Site Investigations, Standards Australia.
- AS2159:2009, Piling Design and Installation, Standards Australia.
- AS2870:2011, Residential Slabs and Footings, Standards Australia.
- AS3798:2007, Guidelines on Earthworks for Commercial and Residential Developments, Standards Australia
- NSW Department of Finance and Service, Spatial Information Viewer, maps.six.nsw.gov.au.

For and on behalf of Australian Geotechnical Pty Ltd

and _____

Reviewed By

N. Smith Principal

J. Lu Geotechnical Engineer

APPENDIX A

FIGURES

Figure 3: BOREHOLE LOCATION PLAN

Approximate Test Locations

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APPENDIX B

IMPORTANT INFORMATION AND BOREHOLE LOGS

Important Information

SCOPE OF SERVICES

The geotechnical report ("the report") has been prepared in accordance with the scope of services as set out in the contract, or as otherwise agreed, between the Client and Australian Geotechnical Pty Ltd (Aus Geo). The scope of work may have been limited by a range of factors such as time and budget

RELIANCE ON DATA

Aus Geo has relied on data provided by the Client and other individuals and organizations, to prepare the report. Such data may include surveys, analyses, designs, maps and plans. Aus Geo has not verified the accuracy or completeness of the data except as stated in the report. To the extent that the statements, opinions, facts, information, conclusions and/or recommendations ("conclusions") are based in whole or part on the data, Aus geo will not be liable in relation to incorrect conclusions should any

data, information or condition be incorrect or have been concealed, withheld, misrepresented or otherwise not fully disclosed to Aus Geo.

GEOTECHNICAL ENGINEERING

Geotechnical engineering is based extensively on judgment and opinion. It is far less exact than other engineering disciplines. Geotechnical engineering reports are prepared for a specific client, for a specific project and to meet specific needs, and may not be adequate for other clients or other purposes (e.g. a report prepared for a consulting civil engineer may not be adequate for a construction contractor). The report should not be used for other than its intended purpose without seeking additional geotechnical advice. Also, unless further geotechnical advice is obtained, the report cannot be used where the nature and/or details of the proposed development are changed.

LIMITATIONS OF SITE INVESTIGATION

The investigation programme undertaken is a professional estimate of the scope of investigation required to provide a general profile of subsurface conditions. The data derived from the site investigation programme and subsequent laboratory testing are extrapolated across the site to form an inferred geological model, and an engineering opinion is rendered about overall subsurface conditions and their likely behaviour with regard to the proposed development. Despite investigation, the actual conditions at the site might differ from those inferred to exist, since no subsurface exploration program, no matter how comprehensive, can reveal all subsurface details and anomalies. The engineering logs are the subjective interpretation of subsurface conditions at a particular location and time, made by trained personnel. The actual interface between materials may be more gradual or abrupt than a report indicates.



SUBSURFACE CONDITIONS ARE TIME DEPENDENT

Subsurface conditions can be modified by changing natural forces or man-made influences. The report is based on conditions that existed at the time of subsurface exploration. Construction operations adjacent to the site, and natural events such as floods, or ground water fluctuations, may also affect subsurface conditions, and thus the continuing adequacy of a geotechnical report. Aus Geo should be kept appraised of any such events, and should be consulted to determine if any additional tests are necessary.

VERIFICATION OF SITE CONDITIONS

Where ground conditions encountered at the site differ significantly from those anticipated in the report, either due to natural variability of subsurface conditions or construction activities, it is a condition of the report that Aus Geo be notified of any variations and be provided with an opportunity to review the recommendations of this report. Recognition of change of soil and rock conditions requires experience and it is recommended that a suitably experienced geotechnical engineer be engaged to visit the site with sufficient frequency to detect if conditions have changed significantly.

REPRODUCTION OF REPORTS

This report is the subject of copyright and shall not be reproduced either totally or in part without the express permission of this Company. Where information from the accompanying report is to be included in contract documents or engineering specification for the project, the entire report should be included in order to minimize the likelihood of misinterpretation from logs.

REPORT FOR BENEFIT OF CLIENT

The report has been prepared for the benefit of the Client and no other party. Aus Geo assumes no responsibility and will not be liable to any other person or organisation for or in relation to any matter dealt with or conclusions expressed in the report, or for any loss or damage suffered by any other person or organisation arising from matters dealt with or conclusions expressed in the report (including without limitation matters arising from any negligent act or omission of Aus Geo or for any loss or damage suffered by any other party relying upon the matters dealt with or conclusions expressed in the report). Other parties should not rely upon the report or the accuracy or completeness of any conclusions and should make their own inquiries and obtain independent advice in relation to such matters.

OTHER LIMITATIONS

Aus Geo will not be liable to update or revise the report to take into account any events or emergent circumstances or fact occurring or becoming apparent after the date of the report.

EXPLANATORY NOTES - DRILL & EXCAVATION LOGS

GENERAL

Information obtained from site investigations is recorded on log sheets. The "Cored Drill Hole Log" presents data from an operation where a core barrel has been used to recover material - commonly rock. The "Non-Core Drill Hole - Geological Log" presents data from an operation where coring has not been used and information is based on a combination of regular sampling and insitu testing. The material penetrated in non-core drilling is commonly soil but may include rock. The "Excavation - Geological Log" presents data and drawings from exposures of soil and rock resulting from excavtion of pits, trenches, etc.

The heading of the log sheets contains information on Project Identification, Hole or Pit Identification, Location and Elevation. The main section of the logs contains information on methods and conditions, material substance description and structure presented as a series of columns in relation to depth below the ground surface which is plotted on the left side of the log sheet. The common depth scale is 8m per drill log sheet and about 3-5m for excavation logs sheets.

As far as is practicable the data contained on the log sheets is factual. Some interpretation is inevitable in the identification of material boundaries in areas of partial sampling, the location of areas of core loss, description and classification of material, estimation of strength and identifications of drilling induced fractures. Material description and classifications are based on SAA Site Investigation Code AS 1726 - 1993 with some modifications as defined below.

These notes contain an explanation of the terms and abbreviations commonly used on the log sheets.

DRILLING

Drilling & Casing

AS	Auger Screwing	
AD/V	Auger Drilling with V-Bit	
AD/T	Auger Drilling with TC Bit	
WB	Wash-bore drilling	
RR	Rock Roller	
NMLC	NMLC core barrel	
NQ	NQ core barrel	
HMLC	HMLC core barrel	
HQ	HQ core barrel	

Drilling Fluid/Water

The drilling fluid used is identified and loss of return to the surface estimated as a percentage.

Drilling Penetration/Drill Depth

Core lifts are identified by a line and depth with core loss per run as a percentage. Ease of penetration in non-core drilling is abbreviated as follows:

VE	Very Easy	
Е	Easy	
F	Firm	
Н	Hard	
VH	Very Hard	

Groundwater Levels

Date of measurement is shown.

Standing water level measured in completed borehole

Level taken during or immediately after drilling

Samples/Tests

D	Disturbed	
D		
U	Undisturbed	
С	Core Sample	
SPT	Standard Penetration Test	
Ν	Result of SPT (*sample taken)	
VS	Vane Shear Test	
IMP	Borehole Impression Device	
PBT	Plate Bearing Test	
PZ	Piezometer Installation	
HP	Hand Penetrometer Test	

EXCAVATION LOGS

Explanatory notes are provided at the bottom of drill log sheets. Information about the origin, geology and pedology may be entered in the "Structure and other Observations" column. The depth of the base of excavation (for the logged section) at the appropriate depth in the "Material Description" column. Refusal of excavation plant is noted should it occur. A sketch of the exposure may be added.

MATERIAL DESCRIPTION - SOIL

Classification Symbol - In accordance with the Unified Classification System (AS 1726-1993, Appendix A, Table A1)

Material Description - In accordance with AS 1726-1993, Appendix A2.3

Moisture Condition

D	Dry, looks and feels dry	
Μ	Moist, No free water on remoulding	
W	Wet, free water on remoulding	

Consistency - In accordance with AS 1726-1993, Appendix A2.5

VS	Very Soft	< 25kPa
S	Soft	25 - 50kPa
F	Firm	50 - 100kPa
St	Stiff	100 - 200kPa
VSt	Very Stiff	200 - 400kPa
Н	Hard	≥ 400kPa

Strength figures quoted are the approximate range of Unconfined Compressive Strength for each class.

Density Index. (%) is estimated or is based on SPT results. Approximate N Value correlation is shown in right column.

VL	Very Loose	< 15%	0 - 4
L	Loose	15 - 35%	4 - 10
MD	Medium Dense	35 - 65%	10 - 30
D	Dense	65 - 85%	30 - 50
VD	Very Dense	> 85%	> 50

MATERIAL DESCRIPTION -ROCK Material Description

Identification of rock type, composition and texture based on visual features in accordance with AS 1726-1993, Appendix A3.1-A3.3 and Tables A6a, A6b and A7.

Core Loss

Is shown at the bottom of the run unless otherwise indicated.

Bedding

Description	Spacing (mm)
Thinly Laminated	< 6
Laminated	6 - 20
Very Thinly Bedded	20 - 60
Thinly Bedded	60 - 200
Medium Bedded	200 - 600
Thickly Bedded	600 - 2000
Very Thickly Bedded	> 2000

Weathering - No distinction is made between weathering and alteration. Weathering classification assists in identification but does not imply engineering properties.

Fresh (F)	Rock substance unaffected by weathering
Slightly Weathered (SW)	Rock substance partly stained or discoloured. Colour and texture of fresh rock recognisable.
Moderately Weathered (MW)	Staining or discolouration extends throughout rock substance. Fresh rock colour not recognisable.
Highly Weathered (HW)	Stained or discoloured throughout. Signs of chemical or physical alteration. Rock texture retained.
Extremely Weathered (EW)	Rock texture evident but material has soil properties and can be remoulded.

Strength - The following terms are used to described rock strength:

Rock Strength Class	Abbreviation	Point Load Strength Index, Is(50)
		(MPa)
Extremely Low	EL	< 0.03
Very Low	VL	0.03 to 0.1
Low	L	0.1 to 0.3
Medium	М	0.3 to 1
High	Н	1 to 3
Very High	VH	3 to 10
Extremely High	EH	≥ 10

Strengths are estimated and where possible supported by Point Load Index Testing of representative samples. Test results are plotted on the graphical estimated strength by using:

- ° Diametral Point Load Test
- Axial Point Load Test

Where the estimated strength log covers more than one range it indicates the rock strength varies between the limits shown.

MATERIALS STRUCTURE/FRACTURES

ROCK

Natural Fracture Spacing - A plot of average fracture spacing excluding defects known or suspected to be due to drilling, core boxing or testing. Closed or cemented joints, drilling breaks and handling breaks are not included in the Natural Fracture Spacing.

Visual Log - A diagrammatic plot of defects showing type, spacing and orientation in relation to core axis.

Defects		Defects open in-situ or clay sealed
		Defects closed in-situ
	•••••	Breaks through rock substance

Additional Data - Description of individual defects by type, orientation, in-filling, shape and roughness in accordance with AS 1726-1993, Appendix A Table A10, notes and Figure A2.

Туре	BP	Bedding Parting
	JT	Joint
	SM	Seam
	FZ	Fracture Zone
	SZ	Shear Zone
	VN	Vein
	FL	Foliation
	CL	Cleavage
	DL	Drill Lift
	HB	Handling break
	DB	Drilling break

Orientation - angle relative to the plane normal to the core axis.

Infilling	CN	Clean
_	Х	Carbonaceous
	Clay	Clay
	KT	Chlorite
	CA	Calcite
	Fe	Iron Oxide
	Qz	Quartz
	MS	Secondary Mineral
	MU	Unidentified Mineral
Shape	PR	Planar
	CU	Curved
	UN	Undulose
	ST	Stepped
	IR	Irregular
	DIS	Discontinuous
Roughness	POL	Polished
	SL	Slickensided
	S	Smooth
	RF	Rough
	VR	Very Rough

SOIL

Structures - Fissuring and other defects are described in accordance with AS 1726-1993, Appendix A2.6, using the terminology for rock defects.

Origin - Where practicable an assessment is provided of the probable origin of the soil, eg fill, topsoil, alluvium, colluvium, residual soil.

24 November 2008